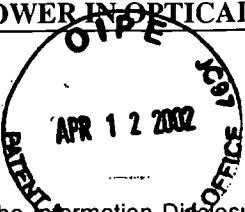
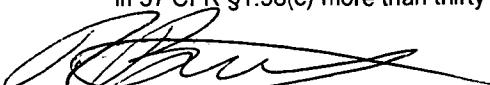
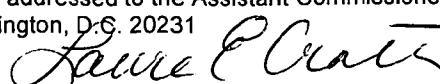
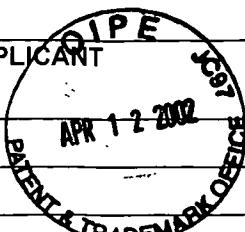


| TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Under 37 CFR 1.97(b), (c), or (d) | | | | Docket No. Feldman 11-1-1-2-8 |
|---|-----------------------|---|------------------------------------|----------------------------------|
| In re Application of: Feldman et al. | | | | |
| Serial No. 10/092,746 | Filing Date 3/7/02 | Examiner Not yet assigned | Group Art Unit Not yet assigned | |
| Title: METHOD AND APPARATUS FOR AUTOMATICALLY CONTROLLING OPTICAL SIGNAL POWER IN OPTICAL TRANSMISSION SYSTEMS | | | | |
|  <p>Address to: Assistant Commissioner for Patents Washington, D.C. 20231</p> | | | | |
| 37 CFR 1.97(b) | | | | |
| <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application, other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> | | | | |
| 37 CFR 1.97(c) | | | | |
| <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), but prior to the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by the statement or fee as indicated below.</p> | | | | |
| 37 CFR 1.97(d) | | | | |
| <p>3. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(c), but on or before payment of the issue fee and is accompanied by the statement and fee as indicated below.</p> | | | | |
| Required Statements and/or Fees Under 37 CFR 1.97(c) or (d) | | | | |
| <p><input type="checkbox"/> Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement. (37 CFR 1.97(e)(1))</p> | | | | |
| <p><input type="checkbox"/> No item of information in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement. (37 CFR 1.97(e)(2))</p> | | | | |
| <p><input type="checkbox"/> The fee set forth in 37 CFR 1.17(p).</p> | | | | |
| 37 CFR §1.704(d) | | | | |
| <p>4. <input type="checkbox"/> Each item of information in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 CFR §1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.</p> | | | | |
|  | | <p>Dated: <u>4/11/02</u></p> | | |
| <p>Robert M. Brush, Attorney Reg. No. 45,710</p> <p>Moser, Patterson & Sheridan, LLP Attorneys at Law 595 Shrewsbury Avenue, Suite 100 Shrewsbury, New Jersey 07702 732-530-9404</p> | | <p>Certificate of Mailing by First Class Mail</p> <p>I certify that this document is being deposited on <u>4/11/02</u> with the U.S. Postal Service as first class mail under 37 CFR §1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231</p> <p> Signature of Person Mailing Correspondence</p> <p><u>LAURA E. CRATER</u> Typed or Printed Name of Person Mailing Correspondence</p> | | |

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /QZW/

| | | | | | | |
|--|------------------------------|--|--|--|----------------------------------|---------------------------------------|
| U.S. Department of Commerce, Patent and Trademark Office (PTO Form 1449 modified) | | | | | Docket No. Feldman 11-1-1-2-8 | Serial No. 10/092,746 |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | | | | Applicant Feldman et al. | Confirmation No.: Not Yet Assigned |
| (Use several sheets if necessary) | | | | | Filing Date 3/7/02 | Group Not Yet Assigned |
| | Examiner Not Yet Assigned | | | | | |



U.S. Patent Documents

| *Examiner Initial | | Document Number | Issue Date | Applicant(s) Name | Class | Subclass | Filing Date If Appropriate |
|-------------------|-----|-----------------|------------|-------------------|-------|----------|----------------------------|
| | A1 | 4,994,675 | 2/19/91 | Levin et al. | 250 | 551 | |
| | A2 | 5,099,349 | 3/24/92 | Yoshida et al. | 359 | 161 | |
| | A3 | 5,136,410 | 8/4/92 | Heiling et al. | 359 | 110 | |
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| | A6 | 5,986,782 | 11/16/99 | Alexander et al. | 359 | 110 | |
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| | A8 | | | | | | |
| | A9 | | | | | | |
| | A10 | | | | | | |
| | A11 | | | | | | |
| | A12 | | | | | | |
| | A13 | | | | | | |

Foreign Patent Documents

| *Examiner Initial | | Document Number | Date | Country | Class | Subclass | Translation | |
|-------------------|----|-----------------|---------|---------|-------|----------|--------------------------|--------------------------|
| | | | | | | | YES | NO |
| | B1 | EP 0 853 394 A2 | 7/15/98 | EPO | H04B | 10/08 | <input type="checkbox"/> | <input type="checkbox"/> |
| | B2 | | | | | | <input type="checkbox"/> | <input type="checkbox"/> |
| | B3 | | | | | | <input type="checkbox"/> | <input type="checkbox"/> |
| | B4 | | | | | | <input type="checkbox"/> | <input type="checkbox"/> |
| | B5 | | | | | | <input type="checkbox"/> | <input type="checkbox"/> |

OTHER ART

| | | |
|-------------------|-----|--|
| *Examiner Initial | | Including Author, Title, Date, Pertinent Pages, Etc. |
| | C1✓ | U.S.S.N. 09/205,412, filed 12/4/98, Czamocha et al. inventors, entitled AUTOMATIC POWER SHUT-DOWN ARRANGEMENT FOR OPTICAL LINE SYSTEMS |
| | C2 | |
| | C3 | |

Examiner /Quan-Zhen Wang/ Date Considered 07/16/2008

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /QZW/